

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent of : **Attn: Certificate of  
Correction Branch**  
Hiroshi HAMASAKA et al. :  
Patent No. 7,356,247 : Atty Docket No. 2002\_0034A  
Issued April 8, 2008 : **Confirmation No. 3478**  
INFORMATION  
RECORDING APPARATUS AND  
METHOD FOR THE SAME :  
\_\_\_\_\_

**REQUEST FOR CERTIFICATE OF CORRECTION UNDER 37 CFR 1.322**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the provisions of 37 CFR 1.322, it is respectfully requested that a Certificate of Correction issue to correct the following:

Applicants kindly request that Okada reference (U.S. 6,181,870) be identified as a cited reference.

**REMARKS**

Please note that the Okada reference was not identified as a cited reference due to Examiner error (see request dated November 21, 2006, response to allowance dated September 20, 2007 and Office communication dated July 1, 2008, attached herewith).

Respectfully submitted,

Hiroshi HAMASAKA et al.

/Andrew L. Dunlap/

By \_\_\_\_\_  
2008.07.22 15:31:58 -04'00'

Andrew L. Dunlap  
Registration No. 60,554  
Attorney for Patentees

ALD/cmd  
Washington, D.C.  
Telephone (202) 721-8200  
Facsimile (202) 721-8250  
July 22, 2008

To: The Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**

PATENT NO : 7,356,247  
DATED : April 8, 2008  
INVENTOR(S) : Hiroshi HAMASAKA et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Applicants kindly request that Okada reference (U.S. 6,181,870) be identified as a cited reference.



## UNITED STATES PATENT AND TRADEMARK OFFICE

A.D

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/046,512	01/16/2002	Hiroshi Hamasaka	2002_0034A	3478
52349	7590	07/01/2008	51356,247	
WENDEROTH, LIND & PONACK L.L.P. 2033 K. STREET, NW SUITE 800 WASHINGTON, DC 20006			EXAMINER	
			TEKLE, DANIEL T	
ART UNIT		PAPER NUMBER		
2621				
MAIL DATE		DELIVERY MODE		
07/01/2008		PAPER		

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.



UNITED STATES DEPARTMENT OF COMMERCE  
U.S. Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

APPLICATION NO./ CONTROL NO.	FILING DATE	FIRST NAMED INVENTOR / PATENT IN REEXAMINATION	ATTORNEY DOCKET NO.
10046512	1/16/2002	HAMASAKA ET AL.	2002_0034A

WENDEROTH, LIND & PONACK L.L.P.  
2033 K. STREET, NW  
SUITE 800  
WASHINGTON, DC 20006

EXAMINER

DANIEL TEKLE

ART UNIT

PAPER

2621 20080610

DATE MAILED:

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner for Patents

The IDS filed on 11/21/06 considered and initialed.

/Marsha D. Banks-Harold/  
Supervisory Patent Examiner, Art Unit 2621



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : Confirmation No. 3478  
Hiroshi HAMASAKA et al. : Attorney Docket No. 2002\_0034A  
Serial No. 10/046,512 : Group Art Unit 2633  
Filed January 16, 2002 : Examiner Daniel T. Tekle

INFORMATION RECORDING APPARATUS  
AND METHOD FOR THE SAME

**REQUEST FOR ACKNOWLEDGMENT OF CONSIDERATION OF LISTED REFERENCE**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The Examiner issued an Examiner's initialed copy of the Information Disclosure Statement (PTO-1449) which was filed on June 20, 2003. The Examiner's initialed copy was attached to the Office Action mailed on July 24, 2006. However, the Examiner failed to initial the Okada reference (U.S. 6,181,870). Accordingly, it is respectfully requested that the Examiner review the Information Disclosure Statement enclosed herewith, and re-issue a copy wherein all of the listed references are acknowledged.

A copy of the partially initialed form PTO-1449 is enclosed for the Examiner's convenience.

Respectfully submitted,

Hiroshi HAMASAKA et al.

By: \_\_\_\_\_

Charles R. Watts  
Registration No. 33,142  
Attorney for Applicants

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

ALD(CRW)/mjw  
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November 21, 2006

Sheet 1 of 1

FORM PTO 1449 (modified)

JUN 20 2003

OCT - 14083

NOV 21 2006

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Date Submitted to PTO: June 20, 2003

ATTY/DOCKET NO.  
2002\_0034SERIAL NO.  
10/046,512

COPY

APPLICANT  
Hiroshi HAMASAKA et al.FILING DATE  
January 16, 2002GROUP  
2615

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/DT/	AA	6,181,870	1/2001	Okada et al.			
	AB						
	AC						
	AD						RECEIVED
	AE						JUN 23 2003
	AF						Technology Center 2600
	AG						
	AH						
	AI						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
DT	AJ	2000-324444	11/2000	JP			Abstract
DT	AK	2000-261741	9/2000	JP			Abstract
DT	AL	9-312827	12/1997	JP			Abstract
DT	AM	2000-152183	5/2000	JP			Abstract
	AN						

## OTHER DOCUMENT(S) (including Author, Title, Date, Pertinent Pages, Etc.)

	AO	
	AP	
	AQ	

EXAMINER

/Daniel Tekle/

DATE CONSIDERED

07/17/2006

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 603; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : **Mail Stop: ISSUE FEE**  
Hiroshi HAMASAKA et al. : **Confirmation No. 3478**  
Serial No. 10/046,512 : [Group Art Unit 2621  
Filed January 16, 2002 : Examiner Daniel T. Tekle]

INFORMATION RECORDING  
APPARATUS AND METHOD  
FOR THE SAME

: Attorney Docket No. 2002\_0034A

**RESPONSE TO ALLOWANCE**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The Applicants hereby request that the Information Disclosure Statement (IDS) filed with the Patent Office on June 20, 2003 be completely considered by the Examiner, as it appears that the Examiner has inadvertently failed to initial next to the U.S. Patent Documents reference "AA" listed thereon. The Applicants also request that the Examiner return a completely initialed copy of the form PTO-1449 which was filed as part of the IDS of June 20, 2003. Enclosed herewith are copies of the Information Disclosure Statement, form PTO-1449, references, and date-stamped postcard receipt as evidence that the Information Disclosure Statement was submitted. Also enclosed is a copy of the partially filled out PTO-1449 form for the Examiner's convenience.

Respectfully submitted,

Hiroshi HAMASAKA et al.

By \_\_\_\_\_  
Andrew L. Dunlap  
Registration No. 60,554  
Attorney for Applicants

ALD/jmj  
Washington, D.C. 20006-1021  
Telephone (202) 721-8200  
Facsimile (202) 721-8250  
September 20, 2007

47  
362  
06/07/03

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of

Hiroshi HAMASAKA et al.

Serial No. 10/046,512

Filed January 16, 2002

INFORMATION RECORDING APPARATUS  
AND METHOD FOR THE SAME

Confirmation No. 3478

Docket No. 2002\_0034A

Group Art Unit 2615

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975INFORMATION DISCLOSURE STATEMENT

RECEIVED

JUN 23 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

COPY

Technology Center 2600

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449. A legible copy of each reference listed on the form PTO-1449 is enclosed.

1a.  This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

1b.  This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

- (1)  the certification of paragraph 2 below is provided, or
- (2)  the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c.  This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and**

**the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

- a.  that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
- b.  that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3.  Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:

- a.  a full or partial English language translation submitted herewith,
- b.  a foreign patent office search report (in the English language) submitted herewith,

- c.  the concise explanation contained in the specification of the present application at page,
- d.  the concise explanation set forth in the attached English language abstracts,
- e.  the concise explanation for reference AM is set forth below:

**U.S. Patent No. 6,181,870 corresponds to JP 2000-152183.**

5.  A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Hiroshi HAMASAKA et al.

By Michael S. Huppert  
Michael S. Huppert  
Registration No. 40,268  
Attorney for Applicants

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Facsimile (202) 721-8250  
June 20, 2003

FORM PTO 1449 (modified)

JUN 20 2003

ATTY DOCKET NO.  
2002\_0034ASERIAL NO.  
10/046,512U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Date Submitted to PTO: June 20, 2003

APPLICANT  
Hiroshi HAMASAKA et al.FILING DATE  
January 16, 2002GROUP  
2615

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,181,870	1/2001	Okada et al.			
	AB						
	AC						
	AD						RECEIVED
	AE						JUN 23 2003
	AF						Technology Center 2600
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	AH						
	AI						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AJ	2000-324444	11/2000	JP			Abstract
	AK	2000-261741	9/2000	JP			Abstract
	AL	9-312827 ✓	12/1997	JP			Abstract
	AM	2000-152183 ✓	5/2000	JP			Abstract
	AN						

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO	
	AP	
	AQ	

EXAMINER

DATE CONSIDERED

ATTY DOCKET #: 2002\_0034A  
Confirmation No. 3478

Due Date: N/A

OUR REF: 2002\_0034A/MSH/00177  
Applicant: Hiroshi HAMASAKA et al.  
Serial No.: 10/046,512 Filing Date: January 16, 2002  
Title: INFORMATION RECORDING APPARATUS AND METHOD FOR THE SAME

Receipt of the following papers is acknowledged:

INFORMATION DISCLOSURE STATEMENT  
Form PTO-1449 w(5) references attached  
Copy of International Search Report

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975



Date: June 20, 2003

Attorney: MSH/kjf

[Check No. \_\_\_\_\_]

Sheet 1 of 1						
FORM PTO 1449 (modified)			JUN 28 2003	ATTY/DOCKET NO. 2002_0034A	SERIAL NO. 10/046,512	
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE			TRADEMARK OFFICE	APPLICANT Hiroshi HAMASAKA et al.		
LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)				FILING DATE January 16, 2002		GROUP 2615
Date Submitted to PTO: June 20, 2003						
U.S. PATENT DOCUMENTS						
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS
	AA	6,181,870	1/2001	Okada et al.		COPY
	AB					
	AC					
	AD					RECEIVED
	AE					JUN 23 2003
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	AH					
	AI					
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DT	AM	2000-152183 ✓	5/2000	JP		Abstract
	AN					
OTHER DOCUMENT(S) (including Author, Title, Date, Pertinent Pages, etc.)						
	AO					
	AP					
	AQ					
EXAMINER			/Daniel Tekle/		DATE CONSIDERED	07/17/2006

\*EXAMINER: Initial # reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.